



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bobsein

Docket No.: A01013

Application No.: 09/783,738

Group No.: not assigned

Filed: February 15, 2001

Examiner: not assigned

For: Binder Composition

RECEIVED
SEP 05 2003
TC 1700

PETITION TO WITHDRAW THE HOLDING OF ABANDONMENT

Commissioner for Patents

Alexandria, VA. 22313-1450

Sir:

Applicants in the above-identified patent application became aware of the abandonment of their application upon receiving a Notice of Abandonment mailed July 14, 2003. Applicants respectfully assert that an error by the Patent Office has resulted in the Notice of Abandonment. Applicants respectfully request withdrawal of the holding of abandonment.

I. The present application, docket number A01013, was filed by the Rohm and Haas Company. The application was sent to the Patent Office by express mail on February 15, 2000 with all necessary parts for filing. This included:

Utility Patent Application Transmittal Form

Fee Transmittal Form (in duplicate)

Preliminary Amendment

Declaration

Certificate of Express Mailing

Return Receipt Postcard

The Free Transmittal Form authorized a payment of \$710 from the deposit account of Rohm and Haas Company. Copies of these documents as well as the Certificate of Express Mailing are included in Exhibit I. The inventors are employees of the Rohm and Haas Company and have assigned the present invention to the Rohm and Haas Company.

II. The Patent Office mailed a Filing Receipt and a Notice to File Missing Parts on April 5, 2001 (Exhibit II). The patent application, A01013, was assigned the application serial number 09/783,738.

III. Anne McGinley, an administrative aide in the Rohm and Haas Patent Department, contacted Janis Burse of the Corrections Branch of the United States Patent and Trademark Office, in response to the Notice to File Missing Parts. On April 13, 2001, Ms. McGinley sent by facsimile, copies of the Fee Transmittal Form, Certificate of Express Mailing, the Utility Patent Application Transmittal Form, and Preliminary Amendment. Copies of the facsimile as well of the facsimile receipt are included in Exhibit III.

IV. A Notice of Abandonment was mailed on July 14, 2003 indicating that a reply was not received.

V. The Monthly Statement of Deposit Account for Rohm and Haas Company for the February, 2001 was reviewed by Gary Greenblatt, a patent agent with the Rohm and Haas Company (Exhibit IV). The Statement showed that on February 23, 2001, a sum of \$710 was charged to the account for docket number A01013. However, the statement showed that the wrong serial number is listed for the patent application of docket number A01013. The column labeled "DESCRIPTION" lists serial number 09/784013. As mentioned above, the present application, A01013, has the serial number 09/783738. Further, a review

of this monthly statement did not show any other charges for docket number A01013 or any charges for serial number 09/783738. The monthly statement also shows that sufficient funds were available in the deposit account to pay the filing fee.

VI. A search of published patent applications showed that the patent application with serial number 09/784013 is not the present application of docket number A01013 (09/783738). Further, patent application 09/784013 does not belong to Rohm and Haas Company.

In summary, Applicants respectfully assert that an error by the Patent Office has resulted in abandonment of the present application. The present application was originally filed with all necessary parts including the proper filing fee of \$710. The Patent Office withdraw the sum of \$710 from the deposit account of Rohm and Haas Company. However, the Patent Office mistakenly credited this filing fee to a different patent application, resulting in a Notice of Abandonment for the present application.

In view of the above showing, the Applicants respectfully request withdrawal of abandonment.

Respectfully submitted,



Gary D. Greenblatt

Agent for Applicant

Registration No. 47,609

Telephone (215) 641-7103

Date: *Aug 26, 2003*
ROHM AND HAAS COMPANY
100 Independence Mall West
Philadelphia, PA 19106-2399
Telephone : (215) 592-6758



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bobsein

Docket No.: A01013

Application No.: 09/783,738

Group No.: not assigned

Filed: February 15, 2001

Examiner: not assigned

For: Binder Composition

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA. 22313-1450

on the date indicated next to my signature below:

Petition to Withdraw the Holding of Abandonment

Exhibit I

Exhibit II


Exhibit III

Exhibit IV

Postcard

8/26/03

Date



Signature

Exhibit I



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013
GDG/am

In re application of:
Barrett Richard Bobsein et al

Serial No.: 60/187,109 : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION
Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231


Certificate of Express Mailing

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Nonprovisional Patent Application
Fee Transmittal (in duplicate)
Preliminary Amendment
Declaration
Certificate of Express Mailing
Return Receipt Postcard

February 15, 2000
Date


Signature

Express Mail # EK905906697US

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(h))

Attorney Docket No.

A01013

First Inventor or Application Identifier

Barrett Richard Bobsein

Title

Binder Composition

Express Mail Label No.

EK905906697US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets - 4. ☒ Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventors(s) named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No. : _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

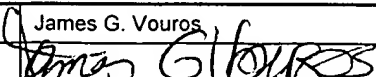
17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	James G. Vouros				
	Rohm and Haas Company				
Address	Patent Department				
	100 Independence Mall West				
City	Philadelphia	State	PA	Zip Code	19106-2399
Country	USA	Telephone	215-592-2564	Fax	215-592-2682

Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature		Date	2/15/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Barrett Richard Bobsein
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	A01013

TOTAL AMOUNT OF PAYMENT (\$) 710

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number	18-1850	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name	Rohm and Haas Company	105 130	205 65
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		Fee Description	
2. <input type="checkbox"/> Payment Enclosed:		Fee Paid	
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other Order			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$) 710			
2. EXTRA CLAIM FEES			
Total claims 10 - 20** = 0 X 18 = 0			
Independent Claims 3 - 3** = 0 X 80 = 0			
Multiple Dependent 270 = 0			
**or number previously paid, if greater; For Reissues, see below			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$) 0			
		121 270 221 135 Request for oral hearing	
		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
		128 1,890 228 945 Extension for reply within fifth month	
		129 130 229 130 Non-English specification	
		138 1,510 238 1,510 Petition to institute a public use proceeding	
		139 130 239 130 For filing a request for reexamination	
		140 110 240 55 Petition to revive - unavoidable	
		141 1,240 241 620 Petition to revive - unintentional	
		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 222 130 Petitions to the Commissioner	
		123 50 223 50 Petitions related to provisional applications	
		126 240 226 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
SUBTOTAL (3) (\$) 0		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY

Name (Print/Type)

James G. Vouros

Signature

James G. Vouros

Registration No. (Attorney/Agent)

34,453

Complete (if applicable)

Telephone

215-592-2564

Date

2/15/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013
GDG:am

In re application of:
Barrett Richard Bobsein et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION
Assistant Commissioner of Patents
Washington, DC 20231

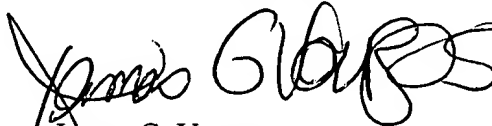
PRELIMINARY AMENDMENT AND PRIORITY REQUEST

This preliminary amendment is filed along with the filing of a non-provisional patent application transmittal form. This patent application claims the benefit of the earlier filing date of prior filed co-pending provisional application serial number 60/187,109 filed on March 6, 2000 under 37 CFR 1.78(a)(4). Please amend the specification by inserting before the first line the sentence:

--Cross Reference To Related Patent Applications

This is a non-provisional application of prior pending U.S. provisional application serial number 60/187,109 filed March 6, 2000.--

Respectfully submitted,



James G. Vouros
Attorney for Applicants
Registration No. 34,453
Telephone No. (215) 592-2564

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399
February 15, 2000

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am

☐ the original, first and sole inventor

☒ an original, first and joint inventor

of the subject matter which is claimed and for which a patent is sought on the invention entitled Binder Composition, the specification of which

☒ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office information known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

☐ I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing (day, month, yr.)	Priority Claimed yes/no

[X] I hereby claim priority benefits under Title 35, United States Code, §119(e)(1) of any provisional application for patent listed below and have also identified below any provisional application for patent having a filing date before that of the application on which priority is claimed:

Provisional Appl. No.	Date of Filing (day, month, yr.)	Priority Claimed yes/no
60/187,109	03/06/00	Yes

[] I hereby claim the benefit under Title 35, United States Code, §120, of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent and Trademark Office information known to be material to patentability of this application, as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing (day, month, yr.)	Status - Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Marc S. Adler, Reg. No. 29,764; Ronald D. Bakule, Reg. No. 32,681; S. Matthew Cairns, Reg. No. 42,378; Clark R. Carpenter, Reg. No. 35,092; Paul S. Chirgott, Reg. No. 31,749; Wendy A. Choi, Reg. No. 36,697; Peter F. Corless, Reg. No. 33,860; Robert M. Didrick, Reg. No. 25,135; Stephen T. Falk, Reg. No. 36,795; Darryl P. Frickey, Reg. No. 34,603; Alan Holler, Reg. No. 29,266; Thomas J. Howell, Reg. No. 34,351; Michael S. Marcus, Reg. No. 31,727; Wayne E. Nacker, Reg. No. 29,571; Thomas D. Rogerson, Reg. No. 38,602; Jeffrey H. Rosedale, Reg. No. P-46,018; James G. Vouros, Reg. No. 34,453; Gerald K. White, Reg. No. 26,611 and Franklin D. Wolfe, Reg. No. 19,724.

Address all correspondence to Thomas J. Howell, Rohm and Haas Company,
100 Independence Mall West, Philadelphia, Pennsylvania 19106-2399, telephone
(215) 641-7275.

Full name of joint inventor Barrett Richard Bobsein

Inventor's signature Barrett Richard Bobsein

Date July 10, 2000

Residence 9 Narothyn Road, Sellersville, PA 18960

Citizenship US

Post Office Address Same as Above

Full name of joint inventor Jin-Chih Chiang

Inventor's signature Jin-Chih Chiang

Date July 10, 2000

Residence 105 Fairview Drive, Lansdale, PA 19446

Citizenship US

Post Office Address Same as Above

Full name of joint inventor Scott Lind Egolf

Inventor's signature Scott Lind Egolf

Date July 10, 2000

Residence 32 East Sixth St., Lansdale, PA 19446

Citizenship US

Post Office Address Same as Above

In connection with the below identified patent application, we hereby acknowledge receipt of:

Nonprovisional Patent Application
Utility Patent Application Transmittal
Fee Transmittal (in duplicate)
Preliminary Amendment
Declaration

DN. AC1013 Ser. No. — Filed —
Certificate of Mailing: ☒ Yes ☐ No
Date Mailed 2/15/01 Initials G.D.G./247

FORM 13663 6/94

Exhibit II

UNITED STATES PATENT AND TRADEMARK OFFICE

4/13/01 cc: Larry Greenblatt
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/783,738	02/15/2001	1713	0.00	A01013		10	3

RECEIVED

APR 12 2001

CONFIRMATION NO. 2912

FILING RECEIPT



OC000000005940686

PATENT DEPARTMENT

James G. Vquros
 Rohm and Haas Company
 Patent Department
 100 Independence Mall West
 Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Barrett Richard Bobsein, Sellersville, PA;
 Jin-Chih Chiang, Lansdale, PA;
 Scott Lind Egolf, Lansdale, PA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/187,109 03/06/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Binder composition

Preliminary Class

524

Data entry by : MURSHID, SHAMSA

Team : OIPE

Date: 04/05/2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,738	02/15/2001	Barrett Richard Bobsein	A01013

RECEIVED

APR 12 2001

PATENT DEPARTMENT

CONFIRMATION NO. 2912

FORMALITIES LETTER



OC000000005940687

James G. Vouras
Rohm and Haas Company
Patent Department
100 Independence Mall West
Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

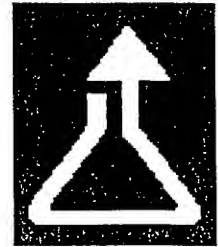
*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

ROHM AND HAAS COMPANY
FACSIMILE TRANSMITTAL SHEET



DATE: April 13, 2001

TO: Ms. Janis Burse
USPTO Corrections Branch

TELEPHONE NO. OF FACSIMILE MACHINE (703) 308-7751

FROM: Anne McGinley TELEPHONE NO: (215) 592-2015

ROHM AND HAAS COMPANY
Patent Department
100 Independence Mall West
Philadelphia, PA 19106-2399

PATENT DEPARTMENT FACSIMILE TELEPHONE NO. IS (215) 592-2682

NUMBER OF PAGES (Including This Cover Sheet):

Re: Serial No.09/783,738 (Our Ref: DN A01013)
Filing Date: 2/15/01

Per our telephone conversation regarding Notice to File Missing Parts of Nonprovisional Application (Confirmation No. 2912) mailed 4/5/01. **Fee Transmittal for \$710. Filing Fee (Copy Attached)** was filed **February 15, 2001** with the Utility Patent Application Transmittal, Preliminary Amendment and Declaration.

Thank you for your assistance in correcting this.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT NAMED ABOVE. This transmittal may be a confidential attorney-client communication or may otherwise be privileged or confidential. If you have received this transmittal in error, any review, dissemination, distribution, or copying of this transmittal or the information which it contains is strictly prohibited. If you have received this transmittal in error, please notify us immediately by telephone (215-592-2015) and return the original transmittal to us by mail. Thank you.

TRANSMISSION REPORT

(THU) APR 12 2001 10:43

ROHM & HAAS PATENT DEPT. PHILA. PA USA

DOCUMENT #	3560147-066
TIME STORED	04. 12 10:40
TIME SENT	04. 12 10:41
DURATION	1' 52"
DESTINATION	917033087751
DST. TEL#	917033087751
MODE	ECM
PAGE(S)	6PAGE(S)
RESULT	OK
DEPARTMENT	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 710

Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Barrett Richard Bobsein
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	A01013

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 18-1850 Deposit Account Name: Rohm and Haas Company <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 710</td> <td colspan="2">SUBTOTAL (3) (\$ 0</td> </tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____						Other fee (specify) _____						SUBTOTAL (1) (\$ 710				SUBTOTAL (3) (\$ 0	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature	<i>James G. Vouros</i>	Telephone	215-592-2564
		Date	2/15/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is intended to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013
GDG/am

In re application of:
Barrett Richard Bobsein et al

Serial No.: 60/187,109 : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION
Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

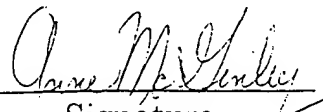
Certificate of Express Mailing

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as express mail in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Nonprovisional Patent Application
Fee Transmittal (in duplicate)
Preliminary Amendment
Declaration
Certificate of Express Mailing
Return Receipt Postcard

February 15, 2000
Date


Signature

Express Mail # EK905906697US

Please type a plus sign (+) inside this box — ☐

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

A01013

First Inventor or Application Identifier

Barrett Richard Bobsein

Title

Binder Composition

Express Mail Label No.

EK905906697US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets - 4. ☒ Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. § 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: _____

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. : _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

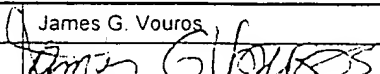
17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	James G. Vouros				
	Rohm and Haas Company				
Address	Patent Department				
	100 Independence Mall West				
City	Philadelphia	State	PA	Zip Code	19106-2399
Country	USA	Telephone	215-592-2564	Fax	215-592-2682

Name (Print/Type)	James G. Vouros	Registration No. (Attorney/Agent)	34,453
Signature		Date	2/15/01

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PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01013
GDG:am

In re application of:
Barrett Richard Bobsein et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filing Date: Herewith : Examiner: Not Yet Assigned

For: Binder Composition

BOX: PATENT APPLICATION
Assistant Commissioner of Patents
Washington, DC 20231

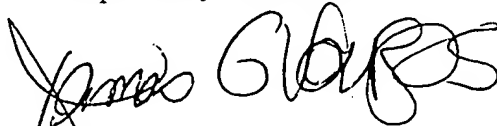
PRELIMINARY AMENDMENT AND PRIORITY REQUEST

This preliminary amendment is filed along with the filing of a non-provisional patent application transmittal form. This patent application claims the benefit of the earlier filing date of prior filed co-pending provisional application serial number 60/187,109 filed on March 6, 2000 under 37 CFR 1.78(a)(4). Please amend the specification by inserting before the first line the sentence:

--Cross Reference To Related Patent Applications

This is a non-provisional application of prior pending U.S. provisional application serial number 60/187,109 filed March 6, 2000.--

Respectfully submitted,



James G. Vouros
Attorney for Applicants
Registration No. 34,453
Telephone No. (215) 592-2564

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399
February 15, 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,738	02/15/2001	Barrett Richard Bobsein	A01013

CONFIRMATION NO. 2912

FORMALITIES LETTER



OC000000005940687

James G. Vouros
Rohm and Haas Company
Patent Department
100 Independence Mall West
Philadelphia, PA 19106-2399

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*


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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Exhibit IV



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Page	3

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2	21	01	268	09276044	1658-02-99	142	1240.00	171047.58
2	21	01	269	09276044	1658-02-99	561	15.00	171032.58
2	21	01	310	370405		567	390.00	170642.58
2	22	01	20	09783376	99-047A	101	710.00	169932.58
2	22	01	21	09783376	99-047A	103	36.00	169896.58
2	22	01	22	09783376	99-047A	102	160.00	169736.58
2	22	01	58	60269799	A01184	114	150.00	169586.58
2	22	01	108	09433249	98-072	581	40.00	169546.58
2	22	01	109	09455996	98-074	581	40.00	169506.58
2	22	01	203	09391560	97-015	131	710.00	168796.58
2	22	01	345	371176		464	15.00	168781.58
2	23	01	40	60269951	A01174	114	150.00	168631.58
2	23	01	43	60270015	A01173	114	150.00	168481.58
2	23	01	136	09784013	A01013	101	710.00	167771.58
2	26	01	6	5675037		183	850.00	166921.58
2	26	01	7	5676741		183	850.00	166071.58
2	26	01	8	5677333		183	850.00	165221.58
2	26	01	9	5679832		183	850.00	164371.58
2	26	01	10	5684067		183	850.00	163521.58
2	26	01	11	5683028		183	850.00	162671.58
2	26	01	12	5686195		183	850.00	161821.58
2	26	01	13	5686185		183	850.00	160971.58
2	26	01	14	5686528		183	850.00	160121.58
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2	26	01	18	5695852		183	850.00	156721.58
2	26	01	19	5695823		183	850.00	155871.58
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2	26	01	217	09391561	98-014	581	40.00	73869.58
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2	28	01	2	60270976	A01186	114	150.00	72329.58
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